

**IN THE CIRCUIT COURT OF ST. LOUIS COUNTY, MISSOURI  
21ST JUDICIAL CIRCUIT**

ROBERT MICHAEL CULLEN, )  
on behalf of himself and )  
all others similarly situated, )  
 )  
Plaintiff, )  
 )  
v. )  
 )  
FIRST ALLIED SECURITIES, INC., )  
 )  
INNOVATIVE INVESTMENT )  
CONCEPTS, )  
 )  
and )  
 )  
SEAN AARON BRADY, )  
 )  
Defendants. )

Cause No. 20SL-CC02119

Division 1

**EXCLUSION REQUEST FORM**

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

I have received and reviewed the Notice of Class Action in the case identified above. I understand the Notice and its provisions concerning whether to exclude myself from this Class. I understand that I must mail this Exclusion Request Form to the attorneys in the case, and it must be postmarked no later than **January 16, 2023**.

I wish to be excluded from the Class and not participate in the lawsuit in any way.

Date: \_\_\_\_\_, 202\_\_

\_\_\_\_\_  
[Signature]

\_\_\_\_\_  
[Printed Name]